

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EL791137274US

JC971 U.S. Pro  
09/886443



Applicant : Takuyuki Yamaguchi, et al.  
Application No. : N/A  
Filed : June 20, 2001  
Title : METHOD AND APPARATUS TO DETERMINE A DISCRIMINATION THRESHOLD OF A RECEIVED SIGNAL, AND AN OPTICAL TRANSMISSION SYSTEM  
Grp./Div. : N/A  
Examiner : N/A  
Docket No. : 45355/DBP/T360

INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
June 20, 2001

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing a reference that is known to applicant. A copy of the listed reference is enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed reference be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By D. Bruce Prout  
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626/795-9900

DBP/aam

Enclosures: PTO-1449, w/reference  
AAM PAS358871.1-\* 6/20/01 10:05 AM

FORM PTO-1449

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(use as many sheets as necessary)

Attorney Docket Number	45355/DBP/T360
Application Number	N/A
Filing Date	June 20, 2001
Applicant(s)	Takuyuki Yamaguchi, et al.
Group Art Unit	N/A
Examiner Name	N/A

JC971 U.S. 886443  
09/06/2001

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	2000-341344		Japan			English Translation Only	

**OTHER DOCUMENTS**

EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		

EXAMINER SIGNATURE	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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AAM PAS358862.1.\* 6/20/01 10:01 AM